

# Choosing a **RISK MANAGEMENT SYSTEM ...** **... in today's uncertain world JUST GOT EASIER**



Risk management is not about eliminating risks, but taking on board risks and managing them to an acceptable level. There is no profit without risk.

Many organisations are looking for a mechanism to ensure efficient investment of resources to counter the ever-increasing types of enterprise risks, the increasing cost of insurance, claims and litigation.

Our cutting edge tools and independent research has leveled the playing field. Your industry knowledge and our software expertise is the best combination to reduce your risks.

Enterprise Risk Manager is based on a simple proven approach to risk reduction ISO 31000 AS/NZS 4360 and other international standards such as ISO 27001 and COSO.

S	A	R	P	R	Risk	Likelihood	Consequence	Rating	Residual	View	Edit
0	0	0	0	0	Risk 01 - Development of New Talent	Possible	Major	High risk	High risk	View	Edit
0	0	0	0	0	Risk 02 - Reliance Of Few Customers	Possible	Major	High risk	High risk	View	Edit
0	0	0	0	0	Risk 03 - Volatility of Results	Possible	Moderate	Moderate risk	Moderate risk	View	Edit
0	0	0	0	0	Risk 04 - Sustainability of Cost Structure	Almost certain	Catastrophic	Extreme risk	Moderate risk	View	Edit
0	0	0	0	0	Risk 05 - Critical IT Failure	Possible	Major	High risk	High risk	View	Edit
0	0	0	0	0	Risk 06 - Failed Implementation	Possible	Catastrophic	High risk	Moderate risk	View	Edit
0	0	0	0	0	Risk 12 - Customer affected by staff changes	Possible	Moderate	Moderate risk	Moderate risk	View	Edit
0	0	0	0	0	Risk 42 - Intellectual Property	Possible	Moderate	Moderate risk	Moderate risk	View	Edit
0	0	0	0	0	Risk 45 - Security Data/Physical Access	Possible	Major	High risk	High risk	View	Edit
0	0	0	0	0	Risk 49 - Communications Data/Voice	Possible	Major	High risk	High risk	View	Edit

Owner	Risk Type	Risk Category	Risk Master	Item Date	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Outstanding aged items	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Controller's Reconciliation	27/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Separate Custodial Accounts by Investor	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Custodial Account Reconciliation	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Movement on Fund Accounts	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Reg AB	Clearing Accounts maintained for Custodial Activity	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Outstanding Items Identified and researched	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Reconciliation Results	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Procedural	Overdrafts	14/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Reg AB	Cash held safeguarded	27/12/2006	Select
Risk Coordinator - Peter K	Review Overdue	Reg AB	Contractual default triggers are monitored	1/11/2006	Select
Risk Coordinator - Peter K	Review Overdue	Reg AB	Unauthorized disbursements to investors	1/11/2006	Select

The Incom library of risk templates make it easy for you to get up and running quickly

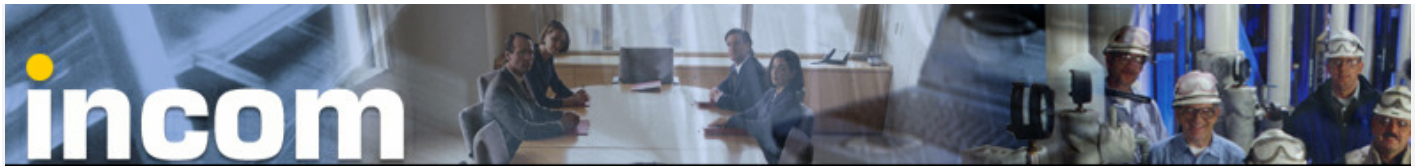
Incom's **Enterprise Risk Manager™** is based on a simple proven approach to risk reduction, supporting ISO 31000, AS/NZS 4360 and other standards. ISO 31000 and AS/NZS 4360 are generic risk management standards that help you manage risks of any type.

Incom has over 150 installations world wide - Australia - USA - Canada - Europe - Asia/Pacific - Africa - South America.

Risk Manager facilitates risk assessments and manages data by risk category, asset/area at risk, location, department, division, risk assessor, risk owner, risk contact, action owner, action type and responsible party.

Risk Manager provides risk review and action due reminders by task list or by automated email. There are many ways to slice and dice the information to produce snapshot reports of top risks and to monitor controls and actions. progress.

**Taking control of your risks has never been easier**



## Configurable System

You decide the risk matrix you want to use.

The risk management structure may be altered to suit your organizational structure. All data items may be renamed to suit your business. In fact Incom's Enterprise Risk Manager™ has been totally translated to Spanish, Portuguese, Chinese, German, Estonian and Thai.

The risk matrix may range from 3x3 to 9x9 with 5x5 being normal and the most popular. You may change the descriptions and the colors.

Any number of risk categories may be setup, and any number of risks may be entered against any category, project, division, asset, etc. An estimate of the likelihood and consequence is assigned to each risk and the risk rating is automatically derived by the system. Any number of actions may be applied to any risk, whereby the action may reduce the likelihood or the consequence, thereby reducing the risk rating to an acceptable level.

## Technical Description

There is a single-user RiskEasy® Risk Manager and the multi-user Enterprise Risk Manager™. The multi-user Risk Manager starts at 5 users and user numbers may be added as you deploy the system enterprise-wide.

Single-user  
or  
Multi-user  
for  
Projects  
or  
Enterprise

Enterprise Risk Manager™ is a web-enabled multi-user Microsoft .NET application using SQL Server relational database supporting hundreds of decision makers across the enterprise. It includes Microsoft Windows single sign-on authentication, role based security for risk management in context, international dates and currencies, and translatability to any other language.

Incom provides user and technical training and support, software maintenance and upgrades.

## Powerful System

All risk reports allow risks to be selected and filtered by category, asset, department, division, etc. An Executive Risk Summary report cuts right across the risk system (or within a specific context) to report the highest risks giving a quick snapshot for senior executives.

Incom Risk Manager monitors itself with aggregated data such as the number of risks relating to each category, active risks, high risks, critical risks; risk reviews due and overdue, actions due and overdue, actions in progress and completed, risk and action contacts and owners.

Any user may list risk reviews and mitigation actions for which they are responsible. A risk coordinator may also activate an automated e-mail alert process to remind owners of overdue tasks. The package has a Reporting Wizard and Charting Wizard with powerful graphical charting capabilities.

## Incom® for Risks and Incidents

Enterprise Risk Manager™ is a product within Incom's overall software suite known as INCOM®, and may be integrated with:

- Enterprise Incident Manager™ to manage workplace injury and other incidents
- Enterprise Issue Manager™ to manage the multitude of issues you may have
- Compliance, Audit and Self Assessments to validate processes and systems

All software has the same look and feel.

Contact Incom or resellers for demonstrations of these advanced software packages.

Contact Incom on +612 9417 2480 or visit [www.incom.com.au](http://www.incom.com.au)

**Taking control of your risks has never been easier**